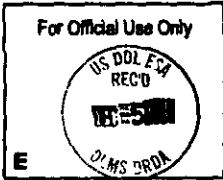


FORM LM-21

RECEIPTS AND DISBURSEMENTS REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 438 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under section 203(b) of the Labor-Management Relations and Disclosure Act of 1959, as amended. (LMRDA)



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

338773 AMENDED

1. File Number C <u>483</u>	2. Period Covered By This Report <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="font-size: 8px;">Month/Day/Year (mm/dd/yyyy)</td> <td style="width: 20%;"></td> <td style="font-size: 8px;">Month/Day/Year (mm/dd/yyyy)</td> </tr> <tr> <td>From: <u>01/01/2006</u></td> <td style="text-align: center;">Through:</td> <td><u>12/31/2006</u></td> </tr> </table>	Month/Day/Year (mm/dd/yyyy)		Month/Day/Year (mm/dd/yyyy)	From: <u>01/01/2006</u>	Through:	<u>12/31/2006</u>
Month/Day/Year (mm/dd/yyyy)		Month/Day/Year (mm/dd/yyyy)					
From: <u>01/01/2006</u>	Through:	<u>12/31/2006</u>					

A. Person Filing	
<p>3. Name and mailing address (include ZIP Code):</p> <p>Name <u>Lupe Cruz</u></p> <p>Title <u>CEO</u></p> <p>Organization <u>Cruz & Associates, Inc</u></p> <p>P.O. Box, Building and Room Number, if any</p> <p>Street <u>10201 Trademark St, #C</u></p> <p>City <u>Rancho Cucamonga</u></p> <p>State <u>CA</u> ZIP Code + 4 <u>91730</u></p>	<p>4. Any other addresses where records necessary to verify this report are kept:</p> <p>Name</p> <p>Title</p> <p>Organization</p> <p>P.O. Box, Building and Room Number, if any</p> <p>Street</p> <p>City</p> <p>State ZIP Code + 4</p>

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions).

<p>17. Signed <u>[Signature]</u> President (If other title, see instructions)</p> <p>Title <u>President</u></p> <p>On <u>11/28/2007</u> <u>909 980 8736</u> Date Telephone Number</p>	<p>18. Signed _____ Treasurer (If other title, see instructions)</p> <p>Title <u>Treasurer</u></p> <p>On <u>11/27/07</u> _____ Date Telephone Number</p>
---	--

Name of Person Filing: Lupe Cruz File Number C- 483

B. Statement of Receipts Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

5.a. Name and Address of Employer (including trade name, if any). Mailing Address:

Employer: See Attached P.O. Box, Building and Room Number, if any: _____

Trade Name: _____ Street: _____

Attention To: _____ City: _____

Title: _____ State: _____ ZIP Code + 4: _____

5.b. Termination Date: _____ 5.c. Amount: _____

6. TOTAL RECEIPTS FROM ALL EMPLOYERS \$ 2,631,048.00

C. Statement of Disbursements Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B.

7. Disbursements to Officers and Employees:

(a) Name	(b) Salary	(c) Expenses	(d) Totals		
<u>See Attached</u>				9. Office and Administrative Expenses	<u>149,800.00</u>
				10. Publicity	<u>30,525.00</u>
				11. Fees for Professional Services	<u>44,235.00</u>
				12. Loans Made	
				13. Other Disbursements	<u>34,248.00</u>
8. Total disbursements to officers and employees: <u>1,333,400.00</u>				14. Total Disbursements (Sum of Items 8-13)	<u>1,594,228.00</u>

D. Schedule of Disbursements for Reportable Activity Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

15.a. Employer Name: See Attached

15.b. Trade Name, if any: _____

15.c. To Whom Paid

Name: _____

Title: _____

Organization: _____

P.O. Box, Building and Room Number, if any: _____

Street: _____

City: _____

State: _____ ZIP Code + 4: _____

15.d. Amount: _____

15.e. Purpose: See Attached

16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY 595,864.00

Cruz Associates, Inc.
LM-21 (1/1/06- 12/31/06)
Item B.5 Statement of Receipts
File Number C-483

<u>CLIENT</u>	<u>TERMINATION DATE</u>	<u>AMOUNT</u>
ACCO Brands Corporation/Ontario, CA 300 Tower Parkway Lincolnshire, IL 60069 Att: Ricardo Villalobos, Director HR	ON-GOING	0.00
Allied Waste/Sacramento, CA 15880 N. Greenway-Hayden Loop Scottsdale, Arizona, 85260 Att: Dorian Long, Mgr. Employee Relations	ON-GOING	\$ 191,981.00
Allied Waste/San Mateo, CA 15880 N. Greenway-Hayden Loop Scottsdale, Arizona, 85260 Att: Dorian Long, Mgr. Employee Relations	ON-GOING	\$ 49,381.00
Barwil Unitor Ships Service 401 East Ocean Blvd, Ste 705 Long Beach, CA 90802 Att: Keith Davison, General Manager	ON-GOING	\$ 7,493.00
Bradford Airport Logistics P.O. Box 1342 Houston, Texas 77251-1342 Att: Benjamin Richter, CEO	ON-GOING	\$ 15,183.00
CSAA Auto Guardian 1980 Oakdale Avenue San Francisco, CA 94124 Att: Nancy Resnick, Senior Counsel	ON-GOING	\$ 41,739.00
Emmanuel Medical Center 1801 N. Olive Turlock, CA 95382 Att: Terry Gray, VP Human Resources	ON-GOING	\$ 116,293.00
Fortuna Enterprises 5711 W. Century Boulevard, Suite 1628 Los Angeles, CA 90045 Att: Christine Hsu, VP & Chief Financial Officer	ON-GOING	\$ 981,899.00
Freund Bakery 2050 Tube Way Los Angeles, CA 90040 Att: Ron Martin, Executive VP	ON-GOING	\$ 51,878.00

Cruz Associates, Inc.
LM-21 (1/1/06- 12/31/06)
Item B.5 Statement of Receipts
File Number C-483

Global Horizons 11111 Santa Monica Blvd, Suite 1440 Los Angeles, CA 90025 Att:Mordechai Orian, Pres. & Chief Strategic Offcr.	ON-GOING	0.00
J.E. Higgins Lumber 6999 Southfront Road Livermore, CA 94551-8221 Att:Julie Commins, Personnel & Benefits	ON-GOING	\$ 9,999.00
LQ Management L.L.C./New Jersey 909 Hidden Ridge, Suite 600 Irving, Texas 75038 Att:Jeff Schagren, Exec. VP HR & Corp. Counsel	ON-GOING	\$ 147,401.00
Luxury Resorts/Toledo, Ohio 501 East Camino Real Boca Raton, Florida 33432 Att:Susan Salazar, EVP HR	ON-GOING	\$ 120,067.00
Maryville Nursing Home 14645 SW Farmington Road Beaverton, OR 97007 Att:Kathleen Parry, Administrator	ON-GOING	\$ 102,470.00
Pacific Coast Industries 4101 N. Holly Drive Tracy, CA 95304 Att:Rick Hendrik, General Manager	ON-GOING	\$ 40,990.00
Petco/New Jersey Annex 2 Building 3 8925 Rehco Road San Diego, CA 92121 Att:Steve Gartner, VP Logistics	ON-GOING	\$ 126,339.00
Pitney Bowes MSC 61-23/Denver 1 Elmcroft Rd Stamford, Ct 06926-0700 Att:Rosalind Gordon, Executive Director	ON-GOING	\$ 165,026.00
Red Rock Country Club 2250-A Red Spring Drive Las Vegas, NV 89135 Att.:Ron Colville, General Manager	ON-GOING	\$ 44,797.00

Cruz Associates, Inc.
LM-21 (1/1/06- 12/31/06)
Item B.5 Statement of Receipts
File Number C-483

McGuire Woods LLP/Sterigenics
101 W. Main Street, Suite 9000
Norfolk, VA 23510
Att:Ruth Goodboe, Attorney

ON-GOING \$ 21,992.00

Sunray Health Care Center
3210 W. Pico Boulevard
Los Angeles, CA 90019
Att:Raya Robinson, CPA

ON-GOING \$ 259,753.00

Temple Park Convalescent Hospital
2411 W. Temple Street
Los Angeles, CA 90026
Att:Barry Kohn, President

ON-GOING \$ 14,604.00

Transportation Concepts
12 Mauchly Building I
Irvine, CA 92618
Att:Rich Rogers, Chief Operating
Officer

ON-GOING \$ 32,841.00

U.S. Concrete-Pleasanton, CA
2925 Briarpark, Suite 1050
Houston, Texas 77042
Att:Gary Konnie, VP Human Resources

ON-GOING \$ 23,252.00

United Facilities, Inc.
3535 Perlman Drive
Stockton, CA 95206
Att:Larry Yocum, Director Operations

ON-GOING \$ 65,670.00

TOTAL * 2,631,048.00

* For purposes of this filing allocation is not feasible and the receipts a disbursements may include matters not connected with labor relations advice services.

Cruz Associates, Inc.

LM-21 (1/1/06- 12/31/06)

Item C.7 Statement of Disbursements

	<u>SALARIES</u>	<u>TOTAL EXPENSES</u>	<u>GRAND TOTAL</u>
Camarena, L.	\$ 53,000.00	\$ 23,000.00	\$ 76,000.00
Crowley, G.	\$ 86,400.00	\$ 7,500.00	\$ 93,900.00
Cruz, J.	\$ 110,000.00	\$ 17,500.00	\$ 127,500.00
Cruz, L.	\$ 130,000.00	\$ 20,000.00	\$ 150,000.00
Henderson, J.	\$ 190,000.00	\$ 32,000.00	\$ 222,000.00
Leopardi, B.	\$ 160,000.00	\$ 24,000.00	\$ 184,000.00
Ortiz, C	\$ 115,500.00	\$ 14,500.00	\$ 130,000.00
Passant, G.	\$ 126,000.00	\$ 24,500.00	\$ 150,500.00
Rivera, F.	\$ 98,000.00	\$ 22,000.00	\$ 120,000.00
Vargas, M.	\$ 66,000.00	\$ 13,500.00	\$ 79,500.00
TOTAL	\$1,134,900.00	\$198,500.00	\$ 1,333,400.00

* 13. Other

Alvarez, T.	\$ 2,204.00
Barnes, B.	\$ 4,868.00
Webb, R.	\$ 5,124.00
Syverson Consulting Inc	\$ 17,546.00
Thomas HR & Labor Relations Group	\$ 4,506.00
TOTAL	\$ 34,248.00

Cruz Associates, Inc.
LM-21 (1/1/06 - 12/31/06)
Item D.15
Schedule of Disbursements
for Reportable Activity
File Number C-483

<u>Disbursement</u>	<u>Amount</u>	<u>Purpose</u>
Agraz, Jose 511 W. California Ave Vista, CA 92084	\$ 4,624.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS.
Castillo, Judy 64890 Cochran Court Desert Hot Springs, CA 92240	\$ 96,599.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS, Fortuna Enterprises, Sunray Healthcare Center, Temple Park Convalescent, Petco/New Jersey, Allied Waste/San Mateo, and Maryville Nursing Home.
De Caesar, Maria Lupe 5334 Lindley Ave, #137 Encino, CA 91316	\$ 77,674.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS.
Hernandez, Elizabeth 260 Victoria, #E3 Costa Mesa, CA 92627	\$ 96,295.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Fortuna Enterprises.
North American Employers Group (Medrano, William) 9424 Stahala Dr El Paso, TX 79924	\$ 10,237.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Sunray Health Care Center and Petco/New Jersey.

Cruz Associates, Inc.
LM-21 (1/1/06 - 12/31/06)
Item D.15
Schedule of Disbursements
for Reportable Activity
File Number C-483

Tran, Khanh P.O. Box 1501 Lake Forest, CA 92609	\$11,220.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Fortuna Enterprises.
Mid Valley Labor Consulting Assoc. (Valdez, Daryl) 15908 Clarisse St Bakersfield, CA 93312	\$77,030.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Emmanuel Medical Center and Allied Waste/Sacramento.
Pinnacle Organization Services Inc (O'Donnell, Michael) 11515 East De La O Rd Scottsdale, AR 85255	\$77,599.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Emmanuel Medical Center, Luxury Resorts/Toledo, Ohio
Perimeter Consulting Inc. (Nunn-Stephens, Nekeya) 4630 S. Kirkman Rd, #228 Orlando, FL 32811	\$144,586.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Sunray Healthcare Center, Transportation Concepts, ROSS, and Luxury Resorts/Toledo, Ohio.
TOTAL	\$595,864.00	