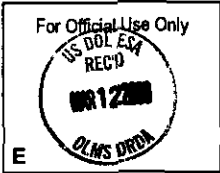


# FORM LM-21 RECEIPTS AND DISBURSEMENTS REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under section 203(b) of the Labor-Management Relations and Disclosure Act of 1959, as amended. (LMRDA)



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

346001 2<sup>nd</sup> Amendment

1. File Number C- <u>483</u>	2. Period Covered By This Report From:	Month/Day/Year (mm/dd/yyyy) <u>01/01/2006</u>	Through:	Month/Day/Year (mm/dd/yyyy) <u>12/31/2006</u>
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<b>A. Person Filing</b>	
<p>3. Name and mailing address (include ZIP Code):</p> <p>Name <u>Lupe Cruz</u></p> <p>Title <u>CEO</u></p> <p>Organization <u>Cruz &amp; Associates, Inc.</u></p> <p>P.O. Box, Building and Room Number, if any</p> <p>Street <u>10201 Trademark St, #C</u></p> <p>City <u>Rancho Cucamonga</u></p> <p>State <u>CA</u> ZIP Code + 4 <u>91730</u></p>	<p>4. Any other address where records necessary to verify this report are kept:</p> <p>Name</p> <p>Title</p> <p>Organization</p> <p>P.O. Box, Building and Room Number, if any</p> <p>Street</p> <p>City</p> <p>State ZIP Code + 4</p>

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions).

<p>17. Signed <u>[Signature]</u> President (if other title, see instructions)</p> <p>Title <u>President</u></p> <p>On <u>3/9/08</u> Date <u>909 980 8734</u> Telephone Number</p>	<p>18. Signed _____ Treasurer (If other title, see instructions)</p> <p>Title <u>Treasurer</u></p> <p>On _____ Date _____ Telephone Number</p>
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Name of Person Filing: Lupe Cruz File Number c-483

**B. Statement of Receipts** Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

5.a. Name and Address of Employer (including trade name, if any). Mailing Address:

Employer: See Attached P.O. Box, Building and Room Number, if any: \_\_\_\_\_

Trade Name: \_\_\_\_\_ Street: \_\_\_\_\_

Attention To: \_\_\_\_\_ City: \_\_\_\_\_

Title: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code + 4: \_\_\_\_\_

5.b. Termination Date: \_\_\_\_\_ 5.c. Amount: \_\_\_\_\_

6. TOTAL RECEIPTS FROM ALL EMPLOYERS \$3,391,775.00

**C. Statement of Disbursements** Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B.

7. Disbursements to Officers and Employees:

(a) Name	(b) Salary	(c) Expenses	(d) Totals
8. Total disbursements to officers and employees:	<u>1,333,400.00</u>		

9. Office and Administrative Expenses	<u>149,820.00</u>
10. Publicity	<u>32,525.00</u>
11. Fees for Professional Services	<u>44,235.00</u>
12. Loans Made	
13. Other Disbursements	<u>342,480.00</u>
14. Total Disbursements (Sum of Items 8-13)	<u>1,594,228.00</u>

**D. Schedule of Disbursements for Reportable Activity** Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

15.a. Employer Name: See Attached

15.b. Trade Name, if any: \_\_\_\_\_

15.c. To Whom Paid

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Organization: \_\_\_\_\_

P.O. Box, Building and Room Number, if any: \_\_\_\_\_

Street: \_\_\_\_\_

City: \_\_\_\_\_

State: Washington ZIP Code + 4: \_\_\_\_\_

15.d. Amount: \_\_\_\_\_

15.e. Purpose: See Attached

16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY 595,864.00

Cruz Associates, Inc.  
 LM-21 (1/1/06- 12/31/06)  
 Item B.5 Statement of Receipts  
 File Number C-483

<u>CLIENT</u>	<u>TERMINATION DATE</u>	<u>AMOUNT</u>
ACCO Brands Corporation/Ontario, CA 300 Tower Parkway Lincolnshire, IL 60069 Att: Ricardo Villalobos, Director HR	ON-GOING	450.00
Adventist Health/San Diego, CA 2100 Douglas Boulevard Roseville, CA 95661-9002 Attn: Roger Ashley, Director HR	ON-GOING	149.00
Adventist Health 450 N. Greenfield Avenue Hanford, CA 93232 Attn: Darla Phelps, Director HR	ON-GOING	46,658.00
Allied Waste/Sacramento, CA 15880 N. Greenway-Hayden Loop Scottsdale, Arizona, 85260 Att: Dorian Long, Mgr. Employee Relations	ON-GOING	\$ 38,586.00
Allied Waste/Fall River, MA 15880 N. Greenway-Hayden Loop Scottsdale, Arizona 85260 Attn: Dorian Long, Mgr. Employee Relations	ON-GOING	\$ 22,039.00
Allied Waste/Seattle, WA 15880 N. Greenway-Hayden Loop Scottsdale, Arizona, 85260 Att: Dorian Long, Mgr. Employee Relations	ON-GOING	\$ 4,505.00
Allied Waste/Denver, CO 15880 N. Greenway-Hayden Loop Scottsdale, Arizona, 85260 Att: Dorian Long, Mgr. Employee Relations	ON-GOING	\$ 24,457.00
Adventist Health/Simi Valley 2975 North Sycamore Drive Simi Valley, CA 93065 Att: Wes Schmidt, Director Human Resources	ON-GOING	\$ 3,418.00
Barwil Unitor Ships Service 401 East Ocean Blvd, Ste 705 Long Beach, CA 90802 Att: Keith Davison, General Manager	ON-GOING	\$ 7,493.00

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

Bradford Airport Logistics P.O. Box 1342 Houston, Texas 77251-1342 Att: Benjamin Richter, CEO	ON-GOING	\$	15,191.00
CSAA Auto Guardian 1980 Oakdale Avenue San Francisco, CA 94124 Att: Nancy Resnick, Senior Counsel	ON-GOING	\$	53,140.00
Cost Plus World Market/Virginia 2201 W. Washington Street Stockton, CA 95203 Attn: Steve Carey, Director HR	ON-GOING	\$	15,389.00
Cost Plus World Market 2201 W. Washington Street Stockton, CA 95203 Attn: Steve Carey, Director HR	ON-GOING	\$	8,942.00
E! Entertainment 5750 Wilshire Boulevard Los Angeles, CA 90036 Attn: Sheila Johnson, Senior Vice President	ON-GOING	\$	18,518.00
Eckert Cold Storage 19901 Mc Henry Avenue Escalon, CA 95320 Attn: Steve West, Owner	ON-GOING	\$	12,704.00
Emmanuel Medical Center 1801 N. Olive Turlock, CA 95382 Att: Terry Gray, VP Human Resources	ON-GOING	\$	116,293.00
Empress Rehabilitation Center 1020 Terminal Avenue Long Beach, CA 90804 Attn: Evelyn Del Rosario	ON-GOING	\$	17,563.00
Emser Tile LLC & Natural Stone/Ontario, CA 8431 Santa Monica Boulevard Los Angeles, CA 90069-4294 Attn: Bruce Watkins, Corp. HR Director	ON-GOING	\$	25,210.00

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

Eucon Corporation/American Rock Products 4418 E. 8th Avenue Spokane Valley, WA 99212 Attn:Michael McKinney, Vice President/CFO	ON-GOING	\$	5,887.00
Eucon Corporation/Quality Rock Products 4418 E. 8th Avenue Spokane Valley, WA 99212 Attn:Michael McKinney, Vice President/CFO	ON-GOING	\$	4,259.00
Falk & Sharp/Durham School Services 199 S. Los Robles Avenue, Ste 600 Pasadena, CA 91101 Att:Keith Sharp, Atty.	ON-GOING	\$	14,231.00
Farris Electric 834 Jury Ct. San Jose, CA 95112 Attn: Jim Webb, Owner	ON-GOING	\$	475.00
Fortuna Enterprises 5711 W. Century Boulevard, Suite 1628 Los Angeles, CA 90045 Att: Christine Hsu, VP & Chief Financial Officer	ON-GOING	\$	981,899.00
Freund Bakery 2050 Tube Way Los Angeles, CA 90040 Att:Ron Martin, Executive VP	ON-GOING	\$	51,878.00
Global Horizons 11111 Santa Monica Blvd, Suite 1440 Los Angeles, CA 90025 Att:Mordechai Orian, Pres. & Chief Strategic Offcr.	ON-GOING		0.00
Grand Park Convalescent 2312 W. 8th Street Los Angeles, CA 90057 Att:Barry Kohn, President	ON-GOING		15,000.00

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

Gunderson Rail Services One Centerpointe Drive Suite 200 Lake Oswego, OR 97035 Attn: Tim Stuckey, President	ON-GOING	675.00
Hawaiian Gardens Food Bank 21411 Norwalk Boulevard Hawaiian Gardens, CA 90716 Attn: Oren Ben Ezra, President	ON-GOING	3,004.00
Hollenbeck Palms 573 South Boyle Avenue Los Angeles, CA 90033 Att:Bill Heideman, President/CEO	ON-GOING	17,668.00
Interstate Waste Systems/New Jersey 200 Sterling Mine Road Sloatsburg, NY 10974 Attn: Mike Di Bella, Owner	ON-GOING	4,305.00
J.E. Higgins Lumber 6999 Southfront Road Livermore, CA 94551-8221 Att:Julie Commins, Personnel & Benefits	ON-GOING	\$ 9,999.00
LQ Management L.L.C./New Jersey 909 Hidden Ridge, Suite 600 Irving, Texas 75038 Att:Jeff Schagren, Exec. VP HR & Corp. Counsel	ON-GOING	\$ 147,401.00
Luxury Resorts/El Conquistador, PR 501 East Camino Real Boca Raton, Florida 33432 Att:Susan Salazar, EVP HR	ON-GOING	\$ 125,414.00
Luxury Resorts/Toledo, Ohio 501 East Camino Real Boca Raton, Florida 33432 Att:Susan Salazar, EVP HR	ON-GOING	\$ 120,067.00
MJO Staffing 1129 S. Lemon Street Fullerton, CA 92832 Att:David Osborne, CEO	ON-GOING	\$ 11,000.00

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

Maryville Nursing Home 14645 SW Farmington Road Beaverton, OR 97007 Att:Kathleen Parry, Administrator	ON-GOING	\$ 117,470.00
Napa Valley Railroad 1275 McKinstry Street Napa, CA 94559 Attn: Vincent DeDomenico, Jr, VP	ON-GOING	\$ 1,444.00
NorCal Beverage/Anaheim, CA 2286 Stone Boulevard West Sacramento, CA 95691 Attn: Lynn Barkalow, Executive VP	ON-GOING	\$ 4,312.00
Pacific Coast Industries 4101 N. Holly Drive Tracy, CA 95304 Att:Rick Hendrik, General Manager	ON-GOING	\$ 40,990.00
Petco/New Jersey 9125 Rehco Road San Diego, CA 92121 Att:Steve Gartner, VP Logistics	ON-GOING	\$ 150,452.00
Petco/Mira Loma, CA 9125 Rehco Road San Diego, CA 92121 Att:Steve Gartner, VP Logistics	ON-GOING	\$ 11,600.00
PharmaVite 1150 Aviation Pl San Fernando, CA 91340 Attn: George Padilla, Manager HR	ON-GOING	\$ 5,366.00
Pitney Bowes MSC 61-23/Denver 1 Elmcroft Rd Stamford, Ct 06926-0700 Att:Rosalind Gordon, Executive Director	ON-GOING	\$ 165,026.00
Red Rock Country Club 2250-A Red Spring Drive Las Vegas, NV 89135 Att.:Ron Colville, General Manager	ON-GOING	\$ 44,797.00

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

McGuire Woods LLP/Sterigenics 101 W. Main Street, Suite 9000 Norfolk, VA 2351 0 Att:Ruth Goodboe, Attorney	ON-GOING	\$ 21,992.00
ROSS/Perris, CA 4440 Rosewood Drive Pleasanton, CA 94588 Attn:Mark Askanas, General Counsel/Senior VP Human Resources	ON-GOING	\$ 377,461.00
Lucasfilm Entertainment Company Ltd Skywalker Sound P.O. Box 29919 San Francisco, CA 94129-0901 Attn: Christina Cordoza, Sr. Emplymnt. & Labor Attorney	ON-GOING	\$ 13,780.00
Smart & Final 600 Citadel Drive Los Angeles, CA 90040 Attn:Bonnie Hernandez,VP Assoc.Relations	ON-GOING	\$ 6,267.00
SnF Management Company 9200 Sunset Boulevard, Suite 1100 West Hollywood, CA 90069 Attn: Aruna Poddatoori, SVP Operations	ON-GOING	\$ 1,080.00
Wildman Harrold, Allen & Dixon LLP (for SS White Burs Inc.) 225 West Wacker Drive Chicago, Illinois 60606-1229 Attn: Andrew Slobodien, Atty	ON-GOING	\$ 1,722.00
Standard Meat Company 5105 Investment Drive Dallas, TX 75236 Attn: Joseph Penshorn, President	ON-GOING	\$ 9,606.00
Sunnyside Nursing & Rehab Center 22617 S. Vermont Avenue Torrance, CA 90502 Attn;Cary Buchman, President	ON-GOING	\$ 15,562.00
Sunray Health Care Center 3210 W. Pico Boulevard Los Angeles, CA 90019 Att:Raya Robinson, CPA	ON-GOING	\$ 259,753.00



Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

Temple Park Convalescent Hospital 2411 W. Temple Street Los Angeles, CA 90026 Att:Barry Kohn, President	ON-GOING	\$	25,130.00
The Bicycle Casino 7301 Eastern Avenue Bell Gardens, CA 90201-4503 Attn: Joy Fernbach Harn, VP & Gen.Counsel	ON-GOING	\$	4,281.00
Topanga Terrace Sebacute & Skilled Nursing Facility 22125 Roscoe Boulevard Canoga Park, CA 91304 Attn: Cary Buchman, President	ON-GOING	\$	15,000.00
Total Intermodal 2396 E. Sepulveda Boulevard Long Beach, CA 90810 Attn: Amador Sanchez, President	ON-GOING	\$	1,272.00
Transportation Concepts 12 Mauchly Building I Irvine, CA 92618 Att:Rich Rogers, Chief Operating Officer	ON-GOING	\$	32,841.00
Universal Health Services/Valley Health System 367 South Gulph Road King of Prussia, PA 19406 Attn:Eileen Bove, VP Human Resources	ON-GOING	\$	22,581.00
U.S. Concrete-Pleasanton, CA 2925 Briarpark, Suite 1050 Houston, Texas 77042 Att:Gary Konnie, VP Human Resources	ON-GOING	\$	23,252.00
Uhual International 2727 North Central Avenue Phoenix, AR 85004 Attn:Cindy Beauchamp, Labor Attorney	ON-GOING	\$	2,300.00

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item B.5 Statement of Receipts  
File Number C-483

United Facilities, Inc.  
3535 Perlman Drive  
Stockton, CA 95206

Att:Larry Yocum, Director Operations                      ON-GOING                      \$            65,670.00

West Coast Industries  
3150 E. 18th Street  
San Francisco, CA 94110

Attn:Laurel Warton    ON-GOING                      \$            6,901.00

TOTAL    \*            3,391,775.00

\* For purposes of this filing allocation is not feasible and the receipts a disbursements may include matters not connected with labor relations advice services.

Cruz Associates, Inc.  
LM-21 (1/1/06- 12/31/06)  
Item C.7 Statement of Disbursements

	<u>SALARIES</u>	<u>TOTAL EXPENSES</u>	<u>GRAND TOTAL</u>
Camarena, Luis	\$ 53,000.00	\$ 23,000.00	\$ 76,000.00
Crowley, Gary	\$ 86,400.00	\$ 7,500.00	\$ 93,900.00
Cruz, Juan	\$ 110,000.00	\$ 17,500.00	\$ 127,500.00
Cruz, Lupe	\$ 130,000.00	\$ 20,000.00	\$ 150,000.00
Henderson, John	\$ 190,000.00	\$ 32,000.00	\$ 222,000.00
Leopardi, Bill	\$ 160,000.00	\$ 24,000.00	\$ 184,000.00
Ortiz, Carlos	\$ 115,500.00	\$ 14,500.00	\$ 130,000.00
Passant, Greg	\$ 126,000.00	\$ 24,500.00	\$ 150,500.00
Rivera, Fernando	\$ 98,000.00	\$ 22,000.00	\$ 120,000.00
Vargas, Mario	\$ 66,000.00	\$ 13,500.00	\$ 79,500.00
TOTAL	<u>\$ 1,134,900.00</u>	<u>\$ 198,500.00</u>	<u>\$ 1,333,400.00</u>

**\* 13. Other**

Alvarez, Tino	\$ 2,204.00
Barnes, Bill	\$ 4,868.00
Webb, Ron	\$ 5,124.00
Syverson Consulting Inc	\$ 17,546.00
Thomas HR & Labor Relations Group	\$ 4,506.00
TOTAL	\$ 34,248.00

Cruz Associates, Inc.  
LM-21 (1/1/06 - 12/31/06)  
Item D.15  
Schedule of Disbursements  
for Reportable Activity  
File Number C-483

<u>Disbursement</u>	<u>Amount</u>	<u>Purpose</u>
Agraz, Jose 511 W. California Ave Vista, CA 92084	\$ 4,624.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS.
Castillo, Judy 64890 Cochran Court Desert Hot Springs, CA 92240	\$ 96,599.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS, Fortuna Enterprises, Sunray Healthcare Center, Temple Park Convalescent, Petco/New Jersey, Allied Waste/San Mateo, and Maryville Nursing Home.
De Caesar, Maria Lupe 5334 Lindley Ave, #137 Encino, CA 91316	\$ 77,674.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS and MJO Staffing.
Hernandez, Elizabeth 260 Victoria, #E3 Costa Mesa, CA 92627	\$ 96,295.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Fortuna Enterprises.
North American Employers Group (Medrano, William) 9424 Stahala Dr El Paso, TX 79924	\$ 10,237.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Sunray Health Care Center and Petco/New Jersey.

Cruz Associates, Inc.  
LM-21 (1/1/06 - 12/31/06)  
Item D.15  
Schedule of Disbursements  
for Reportable Activity  
File Number C-483

Tran, Khanh P.O. Box 1501 Lake Forest, CA 92609	\$ 11,220.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Fortuna Enterprises.
Mid Valley Labor Consulting Assoc. (Valdez, Daryl) 15908 Clarisse St Bakersfield, CA 93312	\$ 77,030.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Emmanuel Medical Center and Allied Waste/Sacramento and Allied Waste/Fall River, MA. Conducted employee relations audit to identify vulnerability toward union organizing for Allied Waste/ Denver, CO.
Pinnacle Organization Services Inc (O'Donnell, Michael) 11515 East De La O Rd Scottsdale, AR 85255	\$ 77,599.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Emmanuel Medical Center, Luxury Resorts/Toledo, Ohio. Conducted employee relations audit to identify vulnerability toward union organizing. for Hollenbeck Palms.
Perimeter Consulting Inc. (Nunn-Stephens, Nekeya) 4630 S. Kirkman Rd, #228 Orlando, FL 32811	\$144,586.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Sunray Healthcare Center, Transportation Concepts, ROSS, Luxury Resorts/Toledo, Ohio, MJO Staffing and Empress Rehabilitation.
TOTAL	\$595,864.00	

CRUZ

&

LABOR RELATIONS CONSULTANTS

ASSOCIATES, INC.

10201 Trademark Street, Suite C • Rancho Cucamonga, CA 91729 • 909.930.8736 • Fax 909.980.8536 • www.cruzandassociates.com



March 04, 2008

U.S. Department of Labor  
Employment Standards Administration  
Office of Labor-Management Standards  
200 Constitution Avenue, NW  
Room N-5616  
Washington, DC 20210

To Whom It May Concern:

Attached, please find a second amended 2006 Form LM-21 for Cruz & Associates, Inc  
file number C-483.

Thank you,

Lipe Cruz  
CEO