

# FORM LM-21 RECEIPTS AND DISBURSEMENTS REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under section 203(b) of the Labor-Management Relations and Disclosure Act of 1959, as amended. (LMRDA)



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

466114

AMENDED

1. File Number C- <u>004831</u>	2. Period Covered By This Report From:	Month/Day/Year (mm/dd/yyyy)		Through:	Month/Day/Year (mm/dd/yyyy)	
		<u>01</u>	<u>01</u>		<u>2008</u>	<u>12</u>

A. Person Filing	
3. Name and mailing address (include ZIP Code):	
Name	<u>Lupe</u> <input type="checkbox"/> <u>Cruz</u>
Title	<u>CEO</u>
Organization	<u>Cruz &amp; Associates, Inc</u>
P.O. Box, Building and Room Number, if any	<u>P.O. Box 1831</u>
Street	
City	<u>Upland</u>
State	<u>CA</u> ZIP Code + 4 <u>91785</u>
4. Any other address where records necessary to verify this report are kept:	
Name	
Title	
Organization	
P.O. Box, Building and Room Number, if any	
Street	
City	
State	ZIP Code + 4

### Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions).

17. Signed <u>June 08</u>	President (if other title, see instructions)	18. Signed _____	Treasurer (If other title, see instructions)
Title <u>President</u>		Title <u>Treasurer</u>	
On <u>5/19/2011</u>	Telephone Number <u>909-980-8736</u>	On _____	Telephone Number _____
Date	Telephone Number	Date	Telephone Number

**B. Statement of Receipts** Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

5.a. Name and Address of Employer (including trade name, if any) Mailing Address:

Employer See Attached P.O. Box, Building and Room Number, if any \_\_\_\_\_

Trade Name \_\_\_\_\_ Street \_\_\_\_\_

Attention To \_\_\_\_\_ City \_\_\_\_\_

Title \_\_\_\_\_ State \_\_\_\_\_ ZIP Code + 4 \_\_\_\_\_

5.b. Termination Date \_\_\_\_\_ 5.c. Amount \_\_\_\_\_

6. TOTAL RECEIPTS FROM ALL EMPLOYERS \$ 2,520,792.00

**C. Statement of Disbursements** Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B.

7. Disbursements to Officers and Employees:

(a) Name	(b) Salary	(c) Expenses	(d) Totals		
				9. Office and Administrative Expenses	<u>81,836.00</u>
				10. Publicity	<u>43,683.00</u>
				11. Fees for Professional Services	<u>22,663.00</u>
				12. Loans Made	
				13. Other Disbursements	
8. Total disbursements to officers and employees: <u>1,757,586.<sup>00</sup></u>				14. Total Disbursements (Sum of Items 8-13)	<u>1,905,768.00</u>

**D. Schedule of Disbursements for Reportable Activity** Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

15.a. Employer Name: See Attached

15.b. Trade Name, If any: \_\_\_\_\_

15.c. To Whom Paid

Name \_\_\_\_\_

Title \_\_\_\_\_

Organization \_\_\_\_\_

P.O. Box, Building and Room Number, if any \_\_\_\_\_

Street \_\_\_\_\_

City \_\_\_\_\_

State Washington ZIP Code + 4 \_\_\_\_\_

15.d. Amount \_\_\_\_\_

15.e. Purpose

See Attached

16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY

AMENDED \$313,025.00

Cruz Associates, Inc.  
 LM-21 (1/1/08- 12/31/08)  
 Item B.5 Statement of Receipts  
 File Number C-483

<u>CLIENT</u>	<u>TERMINATION DATE</u>	<u>AMOUNT</u>
Action Carting Environmental Services Inc. 451 Frelinghuysen Avenue Newark, NJ Att: Mike DiBella, Owner	ON-GOING	49,874.00
Adventist Health 2100 Douglas Boulevard Roseville, CA 95661 Attention:Roger Ashley, Director HR	ON-GOING	6,882.00
Adventist Health Hanford Community Medical Center 450 N. Greenfield Avenue Hanford, CA 93232 Attention:Darla Phelps, Director HR	ON-GOING	7,522.00
Adventist Medical Center Portland 10123 SE Market Street Portland, OR 97216 Attention:Herb Hill, Director HR	ON-GOING	15,716.00
Adventist Health White Memorial Medical Center 1720 Cesar E. Chavez Avenue Los Angeles, CA 90033 Att:Natasha Milatovich, Dir. Human Resources	ON-GOING	600.00
Bay Area News Group East Bay 2640 Shadlands Drive Walnut Creek, CA 94598 Attn:John Armstrong, President & Publisher	ON-GOING	\$ 133,548.00
Beverly Hospital 309 West Beverly Blvd Montebello, CA 90640-4308 Attn:John Barnes, Administrative Dir. HR	ON-GOING	\$ 5,000.00
Bluestar Resort & Golf/Trilogy Golf Club 8800 N. Gainey Center Drive, Suite 355 Scottsdale, AZ 85258 Attn:Scott Werline, Superintendent	ON-GOING	\$ 39,586.00
Buena Ventura Care Center 1016 S. Record Avenue Los Angeles, CA 90023 Attn:Vernon Aguirre, Administrator	ON-GOING	\$ 65,281.00

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LM-21 (1/1/08- 12/31/08)  
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Veritas Health Services Inc  
Chino Valley Medical Center  
5451 Walnut Avenue  
Chino, CA 91710  
Attn:Dr. Lally, President

ON-GOING \$ 129,711.00

Cinelease  
2040 N. Lincoln Street  
Burbank, CA 91504  
Attn:Steve Ortiz, President

ON-GOING \$ 1,624.00

Conner & Winters/Total Warehousing  
4000 One Williams Center  
Tulsa, Oklahoma 74172-0148  
Attn:Nancy Vaughn, Attorney

ON-GOING \$ 3,075.00

ConAgra Foods  
200 Boysenberry Lane  
Placentia, CA 92870  
Attn:Melissa Purvis, Plant Leader

ON-GOING \$ 7,822.00

Cor-O-Van  
901 16th Street  
San Francisco, CA 94107  
Attn: Robert Schmitz, President

ON-GOING \$ 8,014.00

Cost Plus World Market  
2201 W. Washington Street  
Stockton, CA 95203  
Attn:Steve Carey, Director Human  
Resources

ON-GOING \$ 25,613.00

Cost Plus World Market/Virginia  
2201 W. Washington Street  
Stockton, CA 95203  
Attn:Steve Carey, Director Human  
Resources

ON-GOING \$ 5,318.00

DLS Landscape Inc.  
1652 Plum Lane  
Suite 104 A  
Redlands, CA 92374  
Attn:Daniel Sanchez, President

ON-GOING \$ 5,914.00

Deist Industries  
3547 Perry Hwy  
Hadley, PA 16130  
Att:Dale Deist, President

ON-GOING \$ 26,859.00

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Eckert Cold Storage 19901 McHenry Avenue Escalon, CA 95320 Att:Steve West, Owner	ON-GOING	\$ 11,719.00
Emanuel Medical Center 825 Delon Avenue Turlock, CA 95382 Attn:Terry Gray, VP Human Resources	ON-GOING	\$ 2,798.00
Emser Tile 8431 Santa Monica Boulevard Los Angeles, CA 90069 Att: Bruce Watkins, Corporate HR Director	ON-GOING	\$ 8,861.00
Fairfield Furniture Solutions Ashley Furniture HomeStore 25125 Madison Avenue Murrieta, CA 92562 Attn:Ed Corn Jr., President	ON-GOING	\$ 1,523.00
Fortuna Enterprises 5711 W. Century Boulevard, Suite 1628 Los Angeles, CA 90045 Att: Christine Hsu, VP & Chief Financial Officer	ON-GOING	\$ 615,735.00
Hall Ambulance 1001 21st Street Bakersfield, CA 93301 Attn:Scott Brink, President	ON-GOING	\$ 54,446.00
Healthcare Management Services 1016 S. Record Avenue Los Angeles, CA 90023 Attn:Vincent Hambright, President/COO	ON-GOING	2,269.00
Hilton Hotels Corporation Hilton Vacation Club Waikaloa 755 Crossover Lane Memphis, Tennessee 38117 Attn:Sarah Norton, VP and Senior Counsel	ON-GOING	\$ 3,285.00

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Interstate Waste Services 200 Sterling Mine Road Sloatsburg, New York 10974 Attn:Tommy Slattery, Market Area VP	ON-GOING	\$ 90,667.00
Lexus of Stevens Creek (Penske) 3333 Stevens Creek Boulevard San Jose, CA 95117 Attn:Brendan Harrington, General Manager	ON-GOING	\$ 2,742.00
LQ Management/La Quinta Garden City 909 Hidden Ridge, Suite 600 Irving, Texas 75038 Attn:Jeffrey Schagren, Exec. VP H.R. and Corp. Counsel	ON-GOING	\$ 97,607.00
Luxury Resorts/Puerto Rico 501 East Camino Real Boca Raton, Florida 33432 Attn:Susan Salazar	ON-GOING	\$ 6,659.00
Marquez Brothers International Inc. 5801 Rue Ferrari San Jose, CA 95138 Attn:David Villanueva, Chief Financial Officer Executive VP	ON-GOING	\$ 27,145.00
Maryville Nursing Home 14645 SW Farmington Road Beaverton, OR 97007 Attn:Kathleen Parry, Administrator	ON-GOING	\$ 3,821.00
Methodist Hospital 300 West Huntington Drive Arcadia, CA 91007 Attn:Dennis Lee, President & CEO	ON-GOING	\$ 38,780.00
Metropolitan Interpreters and Translators Inc. 110 East 42nd Street New York, New York 10017 Attn:Steven Herfield, President/CEO	ON-GOING	\$ 22,285.00

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Montclair Hospital Medical Center 5000 San Bernardino Street Montclair, CA 91763 Attn:Greg Brentano, CA MBA Administrator	ON-GOING	\$ 2,476.00
NFL Network 10950 Washington Boulevard, Suite 100 Los Angeles, CA 90049 Attn:Kelly Schmidt, H.R. Generalist	ON-GOING	\$ 82,665.00
Nash Distributors 801 Washington Avenue Carteret, NJ 07072 Attn:Mike Nash, President	ON-GOING	\$ 66,649.00
NorCal Beverage 2286 Stone Boulevard West Sacramento, CA 95691 Attn:Don Deary, President	ON-GOING	\$ 41,798.00
Omega Steel 7140 Bandini Boulevard Los Angeles, CA 90040-3388 Attn:Tom Nelis, Owner	ON-GOING	\$ 10,857.00
Palladium Hotel Group 9029 Cresta Drive Los Angeles, CA 90035 Att:Ira Kleinrock, Asset Manager	ON-GOING	\$ 42,223.00
Petco/New Jersey 9125 Rehco Road San Diego, CA 92121 Attn:Mark Hilborn, VP Logistics & Distribution	ON-GOING	\$ 44,460.00
Pinnacle Foods Group LLC/Jackson, TN One Old Bloomfield Road Mountain Lakes, NJ 07046 Attn:Kelley Maggs, Sr. VP & General Counsel	ON-GOING	\$ 154,446.00

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Item B.5 Statement of Receipts  
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Pinnacle Foods Group LLC/Mattoon/St Elmo One Old Bloomfield Road Mountain Lakes, NJ 07046 Attn:Kelley Maggs, Sr. VP & General Counsel	ON-GOING	\$ 173,915.00
Placentia Linda Hospital 1301 Rose Drive Placentia, Ca 92870 Attn:Kent Clayton, President	ON-GOING	\$ 71,394.00
Post Tension of Nevada 2419 S. 49th Avenue Phoenix, AZ 85043 Attn:Ken Saffin, Manager	ON-GOING	\$ 25,704.00
ROSS Dress for Less 1000 Retail Drive Fort Mill, SC 29715 Attn:Les Davis, VP HR Distribution	ON-GOING	\$ 34,024.00
Schiff Nutrition International 20002 South 5070 West Salt Lake City, UT 84104-4726 Attn:Laura Berg, Human Resource Director	ON-GOING	\$ 23,341.00
Sierra View District Hospital 465 W. Putnam Porterville, Ca 93257 Attn:Sharon Brown, Exec. Director HR	ON-GOING	\$ 20,827.00
Simi Valley Community Hospital 2975 North Sycamore Drive Simi Valley, CA 93065 Attn:Sandy Werner, HR Manager	ON-GOING	\$ 2,083.00
SmileCare Family Dentistry 2 MacArthur Place, Suite 700 Santa Ana, CA 92707 Attn:Jeffrey Katz, VP of Legal Affairs	ON-GOING	\$ 2,375.00



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LM-21 (1/1/08- 12/31/08)  
Item B.5 Statement of Receipts  
File Number C-483

St. Vincent Medical Center 2131 W. Third Street Los Angeles, Ca 90057-0992 Attn:Chris Carson, VP Human Resources	ON-GOING	\$	5,152.00
Stanford Hotels 433 California Street, 7th Floor San Francisco, Ca 94104 Attn:Clyde Guinn, VP of Operations	ON-GOING	\$	55,502.00
Temple Park Convalescent Hospital 2411 W. Temple Street Los Angeles, CA 90026 Att:Barry Kohn, President	ON-GOING	\$	3,176.00
Torrance Memorial Medical Center 3330 Lomita Blvd. Torrance, CA 90505 Attn:Lois Michael, VP of Human Resources	ON-GOING	\$	5,081.00
Tropicale Foods Inc. P.O. Box 2224 Chino, Ca 91708 Attn:Ruben Gutierrez, Owner	ON-GOING	\$	3,817.00
Trump International Hotel & Towers/Las Vegas 3128 Las Vegas Boulevard South Las Vegas, NV 89109 Att:Laurie Luongo, VP Human Resources	ON-GOING	\$	19,564.00
Trump International Hotel & Towers/Chicago 203 North Wabash, Suite 1900 Las Vegas, NV 89109 Att:Karyn O'Connell, Director HR	ON-GOING	\$	9,557.00
Vestas-American Wind Technology Inc. 1881 SW Naito Parkway, Suite 100 Portland, Caa 97201 Attn:Bobbi Stedman, VP, People & Culture	ON-GOING	\$	28,657.00

Cruz Associates, Inc.  
LM-21 (1/1/08- 12/31/08)  
Item B.5 Statement of Receipts  
File Number C-483

VOSE Technical Systems  
34760 Daggett-Yermo Road  
Daggett, CA 92327  
Attn:John Wilson, Vice President, O & M      ON-GOING      \$      15,531.00

West Coast Industries  
3150 E. 18th Street  
San Francisco, Ca 94110  
Attn:Laurel Warton      ON-GOING      \$      16,134.00

Wilber Curtis  
6913 Acco Street  
Montebello, CA 90640-5403  
Attn:Mike Curtis, Owner      ON-GOING      \$      29,895.00

Wulff Electric  
800 Davis Street, Suite F  
Vacaville, CA 95687  
Att: Rich Wulff, Owner      ON-GOING      \$      1,188.00

TOTAL      2,520,792.00

\* For purposes of this filing allocation is not feasible and the receipts and disbursements may include matters not connected with labor relations advice and services.

Cruz Associates, Inc.  
 LM-21 (1/1/08- 12/31/08)  
 Item C.7 Statement of Disbursements

	<u>SALARIES</u>	<u>TOTAL EXPENSES</u>	<u>GRAND TOTAL</u>
Camarena, L.	\$ 82,606.00	\$ 23,000.00	\$ 105,606.00
Crowley, G.	\$ 88,400.00	\$ 4,000.00	\$ 92,400.00
Cruz, J.	\$ 115,200.00	\$ 38,000.00	\$ 153,200.00
Cruz, L.	\$ 590,000.00	\$ 60,161.00	\$ 650,161.00
Leopardi, B.	\$ 282,580.00	\$ 25,000.00	\$ 307,580.00
Ortiz, C	\$ 157,438.00	\$ 26,500.00	\$ 183,938.00
Passant, G.	\$ 149,087.00	\$ 28,000.00	\$ 177,087.00
Vargas, M.	\$ 76,414.00	\$ 11,200.00	\$ 87,614.00
<b>TOTAL</b>	<b>\$1,541,725.00</b>	<b>\$215,861.00</b>	<b>\$ 1,757,586.00</b>

\* 13. Other

None	\$	-
<b>TOTAL</b>	<b>\$</b>	<b>-</b>

Cruz Associates, Inc.  
LM-21 (1/1/08- 12/31/08)  
Item D.15  
Schedule of Disbursements  
for Reportable Activity  
File Number C-483

AMENDED

<u>Disbursement</u>	<u>Amount</u>	<u>Purpose</u>
Castillo, Judy 64890 Cochran Court Desert Hot Springs, CA 92240	\$ 37,453.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Buena Ventura Care Center, Stanford Hotels, Fortuna Enterprises, Temple Park Convalescent Analysis on compensation practices and made recommendations at Cost Plus World Market.
De Caesar, Maria Lupe 5334 Lindley Ave, #137 Encino, CA 91316	\$ 21,714.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at ROSS and Mervyns.
Elizabeth Hernandez Consulting 777 6th Avenue, #208 San Diego, CA 92101	\$ 10,924.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Fortuna Enterprises, and Maryville Nursing Home.
Mid Valley Labor Consulting Assoc (Valdez, Daryl) 15908 Clarisse St Bakersfield, CA 93312	\$ 84,552.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Buena Ventura Care Center, Hall Ambulance, Pinnacle Foods Group/Mattoon, and Allied Waste/Newby Island. Attended & spoke at a seminar for Healthcare Management Services.

Cruz Associates, Inc.  
LM-21 (1/1/08- 12/31/08)  
Item D.15  
Schedule of Disbursements  
for Reportable Activity  
File Number C-483

AMENDED

The Labor Pros ( Nunn Stephens, Nekeya) 501 N. Orlando Avenue Suite 346 Winter Park, FL 32789	\$ 27,809.00	Analysis on compensation practices and made recommendation at Cost Plus World Market. Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Pinnacle Foods Group/Jackson, TN
Labor Information Services (Wong, Larry) P.O. Box 6063 Malibu, CA 90264	\$ 24,222.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Chino Valley Medical Center
Donald Paul Sommerville 1509 Pigeon Point Road Beaufort, SC 29902-4027	\$ 11,784.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Pinnacle Foods Group/Jackson, TN.
Pinnacle Organization Services (O'Donnell, Michael) 11515 East De La O Road Scottsdale, Arizona 85255	\$ 94,567.00	Hold employee meetings to inform their section (7) rights and to answer questions pertaining to union, using NLRB Documents & Union Documents for questions & answers at Hall Ambulance, Pinnacle Foods Group/St Elmo, IL., Bay Area News Group- East Bay,
TOTAL	\$313,025.00	