

FORM LM-21

RECEIPTS AND DISBURSEMENTS REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under section 203(b) of the Labor-Management Relations and Disclosure Act of 1959, as amended. (LMRDA)



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

646387

1. File Number C- <input style="width: 50px;" type="text" value="483"/>	2. Period Covered By This Report	Month/Day/Year (mm/dd/yyyy) From: <input style="width: 20px;" type="text" value="01"/> / <input style="width: 20px;" type="text" value="01"/> / <input style="width: 40px;" type="text" value="2016"/>	Through:	Month/Day/Year (mm/dd/yyyy) <input style="width: 20px;" type="text" value="12"/> / <input style="width: 20px;" type="text" value="31"/> / <input style="width: 40px;" type="text" value="2016"/>
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A. Person Filing	
<p>3. Name and mailing address (include ZIP Code):</p> <p>Name <input style="width: 150px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 150px;" type="text"/></p> <p>Title <input style="width: 250px;" type="text"/></p> <p>Organization <input style="width: 300px;" type="text"/></p> <p>P.O. Box, Building and Room Number, if any <input style="width: 250px;" type="text" value="PO BOX 1831"/></p> <p>Street <input style="width: 250px;" type="text"/></p> <p>City <input style="width: 150px;" type="text" value="Upland"/></p> <p>State <input style="width: 150px;" type="text" value="California"/> ZIP Code + 4 <input style="width: 50px;" type="text" value="91785"/></p>	<p>4. Any other address where records necessary to verify this report are kept:</p> <p>Name <input style="width: 150px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 150px;" type="text"/></p> <p>Title <input style="width: 250px;" type="text"/></p> <p>Organization <input style="width: 300px;" type="text"/></p> <p>P.O. Box, Building and Room Number, if any <input style="width: 250px;" type="text"/></p> <p>Street <input style="width: 250px;" type="text"/></p> <p>City <input style="width: 150px;" type="text"/></p> <p>State <input style="width: 150px;" type="text"/> ZIP Code + 4 <input style="width: 50px;" type="text"/></p>

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions).

<p>17. Signed <u></u> President (if other title, see instructions)</p> <p>Title <input style="width: 150px;" type="text" value="President"/></p> <p>On <input style="width: 20px;" type="text" value="03"/> / <input style="width: 20px;" type="text" value="31"/> / <input style="width: 40px;" type="text" value="2017"/> <input style="width: 100px;" type="text" value="9099808736"/></p> <p style="text-align: center;">Date Telephone Number</p>	<p>18. Signed _____ Treasurer (If other title, see instructions)</p> <p>Title <input style="width: 150px;" type="text" value="Treasurer"/></p> <p>On <input style="width: 20px;" type="text"/> / <input style="width: 20px;" type="text"/> / <input style="width: 40px;" type="text"/> <input style="width: 100px;" type="text"/></p> <p style="text-align: center;">Date Telephone Number</p>
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Name of Person Filing:	File Number C- 483
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B. Statement of Receipts Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

5.a. Name and Address of Employer (including trade name, if any). Mailing Address:

Employer <input type="text" value="see attached"/>	P.O. Box, Building and Room Number, if any <input type="text"/>
Trade Name <input type="text"/>	Street <input type="text"/>
Attention To <input type="text"/>	City <input type="text"/>
Title <input type="text"/>	State <input type="text"/> ZIP Code + 4 <input type="text"/>

5.b. Termination Date 5.c. Amount

6. TOTAL RECEIPTS FROM ALL EMPLOYERS 5,175,998

C. Statement of Disbursements Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B.

7. Disbursements to Officers and Employees:

(a) Name	(b) Salary	(c) Expenses	(d) Totals		
could not fit on one line	999,999	102,000	1,101,999	9. Office and Administrative Expenses	155,000
	980,000	0	980,000	10. Publicity	35,000
				11. Fees for Professional Services	43,000
				12. Loans Made	0
				13. Other Disbursements	0
8. Total disbursements to officers and employees:			2,081,999	14. Total Disbursements (Sum of Items 8-13)	2,314,999

D. Schedule of Disbursements for Reportable Activity Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

15.a. Employer Name:

15.b. Trade Name, if any:

15.c. To Whom Paid

Name

Title

Organization

P.O. Box, Building and Room Number, if any

Street

City

State ZIP Code + 4

15.d. Amount

15.e. Purpose

16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY 3,039,515

Non-Labor Relations Advice and Services Receipts
Reported in B. 6 - Total Receipts From All Employers

Receipts totaling \$389,979.24 were collected from the following employers in fiscal year 2016 for services that were not for "labor relations advice and services" but were for other services all of which were specifically excluded including: (1) *advising the employer*; (2) *representing the employer before any court, administrative agency, or tribunal of arbitration*, and (3) *engaging in collective bargaining on the employer's behalf with respect to wages, hours, or other terms or conditions of employment or the negotiation of any agreement or any question arising under the agreement.*

Baldor Specialty Foods New York, NY	Stamford, CT
Eckert Cold Storage Manteca, CA	Univeristy of Notre Dame South Bend, IN
El Conquistador Resort Fajardo, PR	White Lodging Merrilville, IN
Emser Tile LLC & Natural Stone Los Angeles, CA	
Individual Food Service Bell, CA	
Kimpton Seattle, WA	
The London West Hollywood, CA	
Luxury Resorts Boca Raton, FL	
Scientific Games Las Vegas, NV	
Satelite Healthcare San Jose, CA	
Shutters on the Beach Santa Monica, CA	
Starwood Hotels & Resorts	

Cruz Associates, Inc.
LM-21 (1/1/16 to 12/31/16)
Item B 5 Statement of Receipts
File Number C-483

One Monarch Beach Resort
Dana Point, CA 92629
Attn: Ian Pullian

ON-GOING

\$331,102.99

Trump Las Vegas Hotel
2000 Fashion Show Dr.
Las Vegas, NV 89109
Attn: Jeff Peterson

ON-GOING

\$293,327.07

Cruz Associates, Inc.
LM-21 (1/1/16 to 12/31/16)

D. Schedule of Disbursements for Reportable Activity
File Number C-483

<u>Disbursement</u>	<u>Amount</u>	<u>Purpose</u>
LKLS Consulting 511 W. California Ave Vista, CA 92084	\$728,246.83	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Mr. C Beverly Hills, NorCal Beverage, Boulder Station Hotel - Casino, Williams Sonoma, K&N, RK Electric, Sofitel.
Trident Labor Solutions 5655 Vineland St N Hollywood, CA 91601	\$46,910.35	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at NorCal Beverage,
Mattes, Ruth Gabrielle 16020 Elbert Cir Fountain Valley, CA 92708	\$8,795.00	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Williams Sonoma, K&N
Gabrielle Mattes & Associates 16020 Elbert Cir Fountain Valley, CA 92708	\$70,159.18	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Williams Sonoma, K&N
Michaelis, Bill 6930 Parsons Trail Tujunga, CA 91042	\$18,024.57	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at .
Employer Consulting Services 1235 Riverview Dr. Fallbrook, CA 92028	\$238,096.99	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Jeld-Wen, Klamath Falls, XPO Logistics.
Reconnect Labor Relations 29450 Highland Blvd. Moreno Valley, CA 92555	\$85,085.99	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Fibwrap, NorCal Beverage,
Edward Echanique 155 Bay Laurel Dr. Mooresville, NC 28115	\$7,465.85	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Hyatt Shaumburg, Williams Sonoma.
Labor Relations Consultants 155 Bay Laurel Dr. Mooresville, NC 28115	\$116,762.99	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB & Union documents at Hyatt Shaumburg, Williams Sonoma.

Non-Labor Relations Advice and Services Receipts
Reported in B. 6 - Total Receipts From All Employers

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Baldor Specialty Foods
New York, NY

Luxury Resorts
Boca Raton, FL

Eckert Cold Storage
Manteca, CA

Scientific Games
Las Vegas, NV

El Conquistador Resort
Fajardo, PR

Satelite Healthcare
San Jose, CA

Emser Tile LLC & Natural Stone
Los Angeles, CA

Shutters on the Beach
Santa Monica, CA

Individual Food Service
Bell, CA

Starwood Hotels & Resorts
Stamford, CT

Kimpton
Seattle, WA

Univeristy of Notre Dame
South Bend, IN

The London
West Hollywood, CA

White Lodging
Merrilville, IN

Cruz Associates, Inc.
LM-21 (1/1/16 to 12/31/16)
Item B 5 Statement of Receipts
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<u>Client</u>	<u>Termination Date</u>	<u>Amount</u>
American Apparel 747 Warehouse St Los Angeles, CA 90021 Attn: Dawn Kennedy	ON-GOING	\$691,819.86
Con-Way Harlingen 429 North O Street Harlingen, TX 78550 Attn: John Downs	ON-GOING	\$11,226.36
Con-Way Irvine 20697 Prism Place Irvine, CA 92630 Attn: John Heilman	ON-GOING	\$11,940.17
Con-Way Orlando 10975 Florida Crown Dr. Orlando, FL 32824 Attn: Patrick Evers	ON-GOING	\$23,928.30
Con-Way Pacoima 12466 Montague St Pacoima, CA 91331 Attn: Todd Williams	ON-GOING	\$11,998.14
Con-Way Santa Fe Springs 12903 Lakeland Rd Santa Fe Springs, CA 90670 Attn: Steve Krull	ON-GOING	\$18,483.53
Con-Way Manchester 56 Pine Street Manchester, NH 03103 Attn: Steve Krull	ON-GOING	\$10,305.99
Craft Beer 7825 Trade Street, Suite 110 B San Diego, CA 92121 Attn: Kyle Sartanowicz	ON-GOING	\$2,440.00

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<u>Client</u>	<u>Termination Date</u>	<u>Amount</u>
Crowne Plaza ICH LAX 5985 Century Blvd Los Angeles, CA 90045 Attn: David Schweitzer	ON-GOING	\$21,318.99
Crowne Plaza Redondo Beach 300 N Harbor Dr. Redondo Beach, CA 90277 Attn: David Schweitzer	ON-GOING	\$166,714.51
C&R Paper 3901 North Donna St. N Las Vegas, NV 89081 Attn: Gary Blossi	ON-GOING	\$148,337.45
Embassy Suites 8000 Tartak St. Carolina-San Juan ,PR Attn: Marisol Colon	ON-GOING	\$217,688.44
Fibwrap an Agion Company 1400 E. Orangethorpe Ave Fullerton, CA 92831 Attn: Daniel Schoenekase	ON-GOING	\$46,362.97
Harvest Meats 1022 Bay Marina Dr. National City, CA 91950 Attn: Jay Leavy	ON-GOING	\$8,100.00
Hyatt Schaumburg 1800 East Golf Rd. Shaumburg, IL 60173 Attn: Jim Marino	ON-GOING	\$22,709.00
K&N Engineering 1455 Citrus Riverside, CA 92507 Attn: Steve Williams	ON-GOING	\$495,799.52

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<u>Client</u>	<u>Termination Date</u>	<u>Amount</u>
Life Care Center of Coos Bay 2890 Ocean Blvd SE Coos Bay, OR 97420 Attn: Beecher Hunter	ON-GOING	\$43,745.77
LMA 14314 Elinor Ct Cypress, TX 77429 Attn: Dan Block	ON-GOING	\$44,739.96
Maxzone Auto Parts 15889 Slover Ave. Fontana, CA 92337 Attn: Polo Hsu	ON-GOING	\$19,347.60
Mr. C Beverly Hills Hotel 1224 Beverwill Dr. Los Angeles, CA 90035 Attn: Sam Jagger	ON-GOING	\$55,685.99
NorCal Beverage 1226 N. Olive Street Anaheim, CA 82801 Attn: Oscar Garcia	ON-GOING	\$78,957.15
RK Electric 42021 Osgood RD Fremont , CA Attn: Lonnie Robinson	ON-GOING	\$20,238.48
Simmons Bedding Company 1 Simmons Dr. Hazelton, PA 18202 Attn: Chuck Cresap	ON-GOING	\$60,433.20
Sofitel 8555 Beverley Blvd. Los Angeles, CA 90048 Attn: Eric Lemaire	ON-GOING	\$123,171.05

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